List Decoding of Algebraic Codes

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1 List decoding of error-correcting codes

2 Fast list decoding of Reed–Solomon codes

- 3 Fast list decoding of certain AG codes
- Wu decoding of Reed–Solomon codes

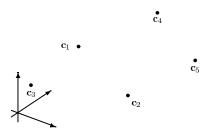
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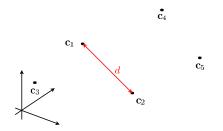
Codewords and unique decoding



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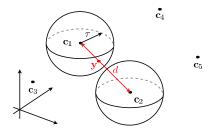
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Codewords and unique decoding



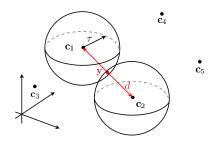
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- Minimum distance, *d*, is the minimal number of disagreeing positions between any two codewords.
- If the number of errors, τ, is less than ^d/₂ then there is at most one codeword within distance τ from any received word y.

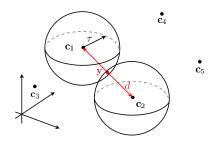
List decoding



• If $\tau \geq \frac{d}{2}$ there might be a "small" list of codewords within distance τ from **y**.

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- The decoder thus get a list of candidate messages.
- We require the lists to be polynomially bounded in the code length *n*.

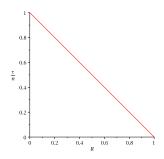
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• The rate of an error-correcting code is rate $R = \frac{\log_{|\Sigma|}(|C|)}{n}$.

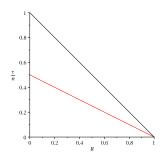
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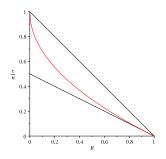


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- Unique decoding: $\tau/n < \frac{1}{2}(1-R).$
- Guruswami–Sudan algorithm: $\tau/n < 1 \sqrt{R}$.

• Furthermore: The code must be efficiently list decodable.

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Reed–Solomon codes

• A Reed–Solomon code of length *n* and rate R = k/n:

$$\mathcal{C} = \left\{ \left(f(\alpha_1), \dots, f(\alpha_n) \right) \mid f(x) \in \mathbb{F}_q[x], \deg(f) < k \right\},\$$

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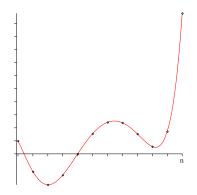
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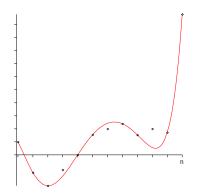


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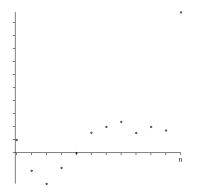
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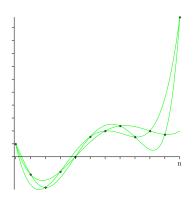
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• A list decoder must find $f(x) \in \mathbb{F}_q[x]$, with deg(f) < k, that passes through $n - \tau$ of the received points.

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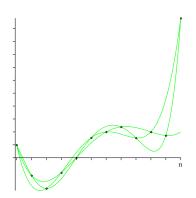


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 Interpolate Q(x, y) through received points, with multiplicity s.

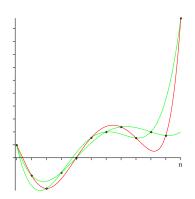
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• If
$$\tau/n < 1 - \sqrt{R}$$
 then

Q(x,f(x))=0

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Translation of the interpolation problem

• List decoding depends on a fast interpolation algorithm.

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- The $\mathbb{F}_q[x]$ -module of interpolation polynomials with $\deg_{\gamma}(Q) \leq \ell$, is spanned by

$$\Big\{E^{s}, E^{s-1}(y-R), \ldots, (y-R)^{s}, (y-R)^{s+1}, \ldots, (y-R)^{\ell}\Big\},\$$

where $E(x) = \prod_{i=1}^{n} (x - \alpha_i)$ and $R(\alpha_i) = y_i$ for $1 \le i \le n$.

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• Then,

$$Q(x,y) = \sum_{i=0}^{\ell} q_i(x) y^i \in \mathbb{F}_q[x,y],$$

is an interpolation polynomial if and only if $\mathbf{q} = (q_0, \dots, q_\ell)$ is in the $\mathbb{F}_q[x]$ -column span of \mathbf{A} .

Interpolation

• For
$$s = 2$$
 and $\ell = 3$,

$$\mathbf{A} = \begin{bmatrix} E^2 & -ER & R^2 & -R^3 \\ 0 & E & -2R & 3R^2 \\ 0 & 0 & 1 & -3R \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

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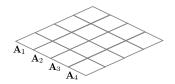
• The column span of **A** gives all interpolation polynomials. We look for short vectors, with respect to weighted degree.

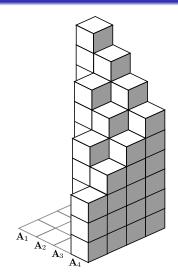
• Gaussian elimination-style algorithm: Cancel highest terms.

Algorithm: Gaussian elimination

• Represent matrix as grid.

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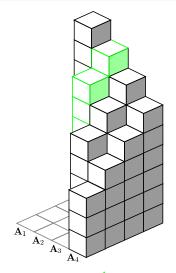




- Represent matrix as grid.
- Represent (*i*, *j*)-th entry by stack of cubes:

$$\deg_w(\mathbf{A}_{i,j}) = \ \deg(\mathbf{A}_{i,j}) + (k-1)j.$$

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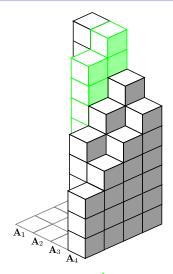
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• Gaussian elimination.

 \mathbf{A}_2



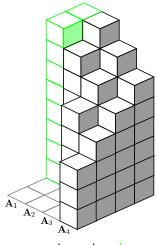
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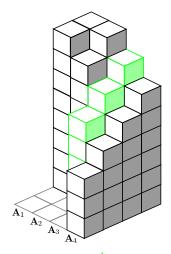
 $\mathbf{A}_1 + \alpha x \mathbf{A}_2 \rightarrow \mathbf{A}_1$

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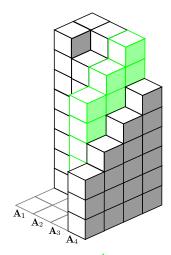
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• Gaussian elimination.

 \mathbf{A}_3



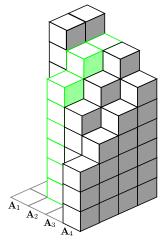
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• Gaussian elimination.

 $x\mathbf{A}_3$



 $\mathbf{A}_2 + \alpha x \mathbf{A}_3 \rightarrow \mathbf{A}_2$

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- Represent matrix as grid.
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$$\begin{split} \deg_w(\mathbf{A}_{i,j}) &= \\ \deg(\mathbf{A}_{i,j}) + (k-1)j. \end{split}$$

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- Continue the process, until leading coordinates occur in distinct rows.

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Algorithm: Gaussian elimination

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- Continue the process, until leading coordinates occur in distinct rows.
- Leads to algorithm requiring $\mathcal{O}\left(\ell^5 n^2\right) \mathbb{F}_q$ -multiplications.

- Extend and generalize idea behind divide and conquer algorithm by Alekhnovich.
- Introduce matrix U(A, t) representing the column operations made when "cutting down" the stack, i.e.
 - $\deg_w(\mathbf{A} \cdot \mathbf{U}(\mathbf{A}, t)) \leq \deg_w(\mathbf{A}) t$ or
 - A · U(A, t) has all leading coordinates in distinct rows,

where $\deg_w(\mathbf{A}) = \sum_i \deg_w(\mathbf{A}_i)$.

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• Observation:

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where $\mathbf{A}' = \mathbf{A} \cdot \mathbf{U}(\mathbf{A}, t/2)$ and $d = \deg_w \mathbf{A} - \deg_w \mathbf{A}'$.

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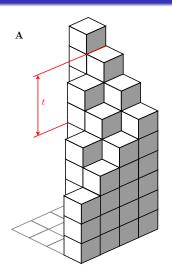
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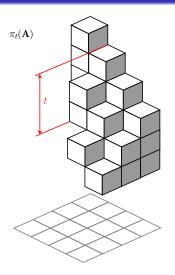
• Leads to divide and conquer algorithm. Handle base case **U**(**A**, 1) by Gaussian elimination.



• Subproblems are easy:

$$\mathbf{U}(\mathbf{A},t)=\mathbf{U}(\pi_t(\mathbf{A}),t).$$

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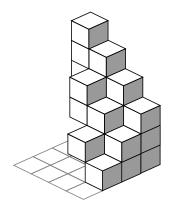


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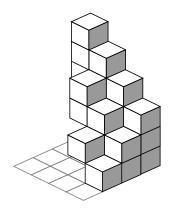


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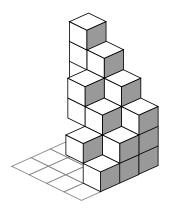
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 Combining subproblems is easy:

Entries in U(A, t) have at most 2t coefficients.

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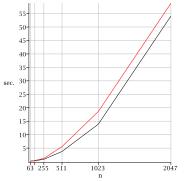
Leads to algorithm requiring

 $\mathcal{O}\left(\ell^5 n \log^2(\ell n) \log \log(\ell n)\right)$

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Comparison and conclusions

• The divide and conquer algorithm is asymptotically faster than Gaussian elimination.

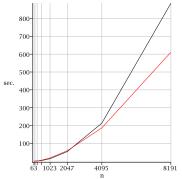


Gaussian elimination $\mathcal{O}\left(\ell^5 n^2\right)$ Divide and conquer $\mathcal{O}\left(\ell^5 n \log^2(\ell n) \log \log(\ell n)\right)$

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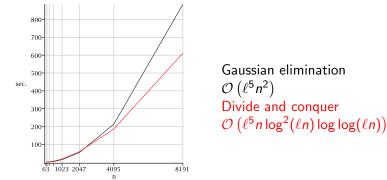
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 The algorithm works in a more general setting: list decoding of certain algebraic geometry codes.

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AG codes

• \mathfrak{C} a simple C_{ab} curve, i.e. a nonsingular affine curve given by a polynomial of the form $F(x_1, x_2) = 0$ such that

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- A simple C_{ab} -curve has a unique point at infinity denoted by P_{∞} .
- $v_{P_{\infty}}(x_1^i x_2^j) = -i\gamma j\delta.$

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- A simple C_{ab} -curve has a unique point at infinity denoted by P_{∞} .

•
$$v_{P_{\infty}}(x_1^i x_2^j) = -i\gamma - j\delta.$$

• An AG code from a simple C_{ab} -curve of length *n*:

$$\mathcal{C} = \{(f(\alpha_1),\ldots,f(\alpha_n)) \mid f(x) \in L(\mu P_{\infty}), v_{P_{\infty}}(f) + \mu \geq 0\},\$$

Alphabet is $\Sigma = \mathbb{F}_q$ and $\alpha_1, \ldots, \alpha_n \in \mathfrak{C}(\mathbb{F}_q)$ are distinct affine points.

• A list decoder must find $f(x) \in \mathbb{F}_q[x_1, x_2]/(F(x_1, x_2))$, with $v_{P_{\infty}}(f) + \mu \ge 0$, that passes through $n - \tau$ of the received points.

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• If $\tau/n < 1 - \sqrt{R}$ then

 $Q(x_1, x_2, f(x_1, x_2)) = 0$

 The F_q[x₁, x₂]/(F(x₁, x₂))-module of interpolation polynomials with deg_y(Q) ≤ ℓ, is spanned by

$$\left\{E^{s}, E^{s-1}(y-R), \ldots, (y-R)^{s}, (y-R)^{s+1}, \ldots, (y-R)^{\ell}\right\}.$$

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 $[\mathbf{A}]_{(ij),(i'j')} = \text{Coefficient to } x_2^i y^j$ in (i',j')-th basis function

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• For the well-known Hermitian curve one can list-decode one-point AG codes in

$$\mathcal{O}\left(\ell^5 n^2 \log^2(\ell n) \log \log(\ell n)\right)$$

 $\mathbb{F}_{q^2}\text{-multiplications.}$ Note that in this case $\gamma=q,\ \delta=q+1$ and $n=q^3.$

Contents

List decoding of error-correcting codes

Past list decoding of Reed–Solomon codes

- 3 Fast list decoding of certain AG codes
- Wu decoding of Reed–Solomon codes

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• Solving the key equation: use EEA on S(x) and x^{n-k} . Finds $\Lambda(x)$ and $\Omega(x)$ if $2\tau < n - k + 1$.

• The Wu list decoder focuses on finding all relevant pairs $(\Lambda(x), \Omega(x))$ if $2\tau \ge n - k + 1$.

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- Idea: working in the $\mathbb{F}_q[x]$ -module generated by y S(x) and x^{n-k} we have

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- f₁(x) and f₂(x) are unknown polynomials, but upper bounds on their degrees are known.

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- Work in progress: apply Wu's list decoder to other classes of codes.

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Thank you for your attention!